



CSOP Hang Seng TECH Index ETF

Stock Code : 3033



All Information as of 30 September 2021

IMPORTANT: Investment involves risks. Investment value may rise or fall. Past performance information presented is not indicative of future performance. Investors should refer to the Prospectus and the Product Key Facts Statement for further details, including product features and risk factors. Investors should not base on this material alone to make investment decisions.

CSOP Hang Seng TECH Index ETF (the "Sub-Fund") is a sub-fund of the CSOP ETF Series ("Trust"), which is an umbrella unit trust established under Hong Kong law. The Sub-Fund is a passively managed Index tracking ETF authorized under Chapter 8.6 of the Code on Unit Trusts and Mutual Funds. The units of the Sub-Fund are traded on the Stock Exchange of Hong Kong Limited (the "SEHK") like stocks.

- **The Sub-Fund is not principal guaranteed and your investments may suffer losses. There is no assurance that the Sub-Fund will achieve its investment objective.**
- **The Sub-Fund is not actively managed.** The Manager will not have the discretion to adapt to market changes due to the inherent investment nature of the Sub-Fund. Falls in the Underlying Index are expected to result in corresponding falls in the value of the Sub-Fund.
- The Hang Seng TECH Index (the "Underlying Index") is a new index. The Sub-Fund may be riskier than other exchange traded funds tracking more established indices with longer operating history.
- The Sub-Fund's investments are concentrated in companies with a technology theme. Many of the companies with a high business exposure to a technology theme have a relatively short operating history. Technology companies are often characterised by relatively higher volatility in price performance when compared to other economic sectors. Companies in the technology sector also face intense competition, and there may also be substantial government intervention, which may have an adverse effect on profit margins. Rapid changes could render obsolete the products and services offered by these companies. These companies are also subject to the risks of loss or impairment of intellectual property rights or licences, cyber security risks resulting in undesirable legal, financial, operational and reputational consequences.
- The value of the Sub-Fund may be more volatile than that of a fund having a more diverse portfolio of investments.
- Securities lending transactions may involve the risk that the borrower may fail to return the securities lent out in a timely manner and the value of the collateral may fall below the value of the securities lent out.
- The Sub-Fund may be subject to tracking error risk, which is the risk that its performance may not track that of the Underlying Index exactly. This tracking error may result from the investment strategy used, and fees and expenses. The Manager will monitor and seek to manage such risk in minimising tracking error. There can be no assurance of exact or identical replication at any time of the performance of the Underlying Index.
- As investors will pay certain charges (e.g. trading fees and brokerage fees) to buy or sell units on the SEHK, investors may pay more than the NAV per unit when buying units on the SEHK, and may receive less than the NAV per unit when selling units on the SEHK.
- Please note that the above listed investment risks are not exhaustive and investors should read the Prospectus and the Product Key Facts Statement in detail before making any investment decision.

Investment Objective

The investment objective of the Product is to provide investment results that, before fees and expenses, closely correspond to the performance of Hang Seng TECH Index (the "Index").

Fund Information

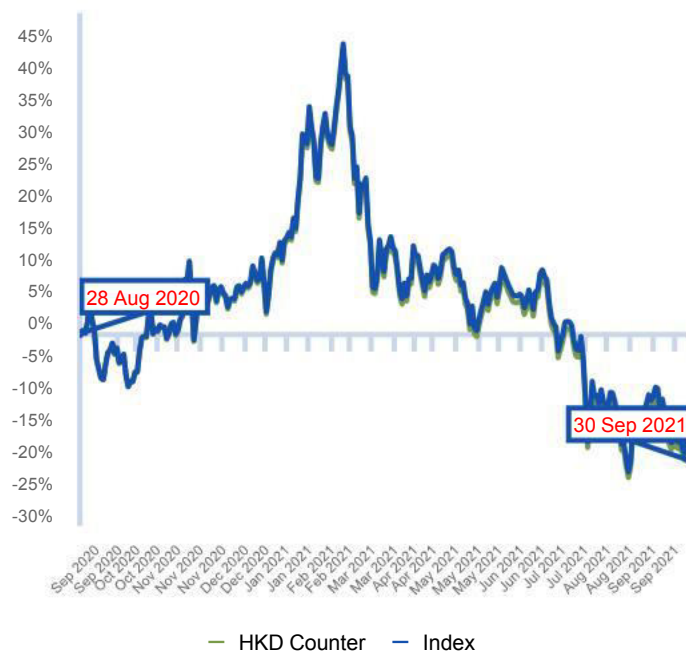
Legal structure	Hong Kong Unit trust
Manager	CSOP Asset Management Limited
Exchange Listing	SEHK – Main Board
Investment Strategy	Full Replication Strategy
Fund Size	HKD 11.29 billion
Units Outstanding	1,867,400,000
Base Currency	HKD
Share Class Currency	HKD
Dividend Frequency	Annually
Custodian	The Hongkong and Shanghai Banking Corporation Limited
Trustee and Registrar	HSBC Institutional Trust Services (Asia) Limited

Cumulative Performance(%)

Counter	1 Month	6 Month	1 Year	3 Year	Since Listing
HKD	-7.73	-25.62	-14.67	N/A	-19.86
Index	-7.65	-25.23	-13.62	N/A	-18.80

Calendar Year Performance(%)

Counter	2020	2021YTD
HKD	N/A	-28.03
Index	N/A	-27.37



Data from inception date to 30 September 2021
Source: CSOP

Share Class Information

Counter	Net Asset Value	Listing Date	ISIN Code	Exchange Ticker	Bloomberg Code	Trading Lot Size	Management Fee	Last Distribution
HKD	6.04	28/08/20	HK0000642568	3033	3033 HK Equity	200 units.	0.99% p.a.	N/A



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Top 10 Holdings

Company	% of NAV
MEITUAN DIANPING-CLASS B	8.58
TENCENT HOLDINGS LTD	8.26
JD.COM INC - CL A	7.99
KUAISHOU TECHNOLOGY	7.81
SUNNY OPTICAL TECH	7.52
ALIBABA GROUP HOLDING LTD	7.43
XIAOMI CORP-CLASS B	7.38
SEMICONDUCTOR MANUFACTURING	5.58
JD HEALTH INTERNATIONAL INC	4.74
HAIER SMART HOME CO LTD-H	3.75

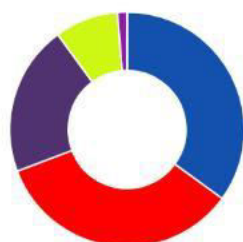
Market Makers (HKD Traded Units)

Flow Traders Hong Kong Limited
HSBC Securities Brokers (Asia) Limited
AP Capital Management (Hong Kong) Limited
IMC Asia Pacific Limited
DRW Singapore Pte. Ltd.
Jane Street Financial Limited
Optiver Trading Hong Kong Limited
Haitong International Securities Company Limited
Yue Kun Research Limited
Korea Investment & Securities Asia Limited
Vivienne Court Trading Pty. Ltd.
BNP Paribas Securities (Asia) Limited

Participating Dealers

BNP Paribas Securities (Asia) Limited
Citigroup Global Markets Asia Limited
Guotai Junan Securities (Hong Kong) Limited
Merrill Lynch Far East Limited
China International Capital Corporation Hong Kong Securities Limited
Haitong International Securities Company Limited
The Hongkong and Shanghai Banking Corporation Limited
Valuable Capital Limited
ABN AMRO Clearing Hong Kong Limited
GF Securities (Hong Kong) Brokerage Limited
Morgan Stanley Hong Kong Securities Limited
Goldman Sachs (Asia) Securities Limited
China Merchants Securities (HK) Co Limited
J.P. Morgan Broking (Hong Kong) Limited
Huatai Financial Holdings (Hong Kong) Limited
Mirae Asset Securities (HK) Limited
Barclays Bank PLC
Phillip Securities (Hong Kong) Limited
Credit Suisse Securities (Hong Kong) Limited
China Galaxy International Securities (Hong Kong) Co., Limited
Korea Investment & Securities Asia Limited
Nomura International (Hong Kong) Limited
Zhongtai International Securities Limited

Sector Breakdown



Communications - 34.99%
Technology - 34.25%
Consumer Discretionary - 20.71%
Consumer Staples - 8.73%
Financials - 1.29%
Cash and Others - 0.03%

Source: Bloomberg

Footnote:

1. Product performance is calculated on NAV to NAV basis with dividend re-invested.
2. The underlying index of the Product is Hang Seng TECH Index (Bloomberg Ticker: HSTECHN Index), which is a net total return index.
3. Calculated since the listing date of 28 Aug 2020.
4. The frequency of dividend distribution may change at the discretion of the Manager.
5. Management fee includes trustee fee, custodian fee and registrar's fee.

Disclaimer:

- Investment involves risk. Please read the offering documents for further details including risk factors. Investment value may rise or fall and there may be possible loss of principal. Any quoted performance does not indicate future returns. CSOP does not guarantee the performance of the Sub-Fund. Investor should not make investment solely based on this material.

This material has not been reviewed by the Securities and Futures Commission.

Issuer: CSOP Asset Management Limited